12/06/01

PTO/SB/05 (03-01) Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMENT.

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| UCT-0020-01

UTILITY PATENT APPLICATION **TRANSMITTAL**

Attorney Docket No. Bahram Javidi First Inventor Image Processing Techniques For Automatic Road

(Only for new nonprovision	nal applications under 37 CFR 1.53(b))	Express Mail Label No. EJ148815461US
APPLICA	TION ELEMENTS	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application
See MPEP chapter 600 conc	erning utility patent application contents.	Washington, DC 20231
1. Fee Transmittal For (Submit an original and a construction of the construction of t	orm (e.g., PTO/SB/17) tuplicate for fee processing) mall entity status. [Total Pages 33] set forth below) of the invention e to Related Applications arding Fed sponsored R & D quence listing, a table, rogram listing appendix the Invention of the Invention of the Drawings (if filed)	7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Form (CRF) b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. paper c. Statements verifying identity of above copies ACCOMPANYING APPLICATION PARTS 9. Assignment Papers (cover sheet & document(s)) 10. TO-ROM or CD-R (2 copies); or Paper C. Statements verifying identity of above copies
- Abstract of the	Disclosure	(when there is an assignee) Attorney
b. Copy from a (for continual i. DELET Signed stanamed in to 1.63(d)(2) 6. Application Data 18. If a CONTINUING APPLI or in an Application Data Sheet Continuation Prior application information: For CONTINUATION OR DIVISI	[Total Pages 2] Inted (original or copy) prior application (37 CFR 1.63 (d)) Ition/divisional with Box 18 completed) ION OF INVENTOR(S) Itement attached deleting inventor(s) the prior application, see 37 CFR and 1.33(b). Sheet. See 37 CFR 1.76 CATION, check appropriate box, and supplicational Continuation-in-part (CIP) Examiner: CONAL APPS only: The entire disclosure of templication (37 CFR 1.76)	11. English Translation Document (if applicable) 12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 13. Preliminary Amendment 14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. Other: Oply the requisite information below and in a preliminary amendment of prior application No/ Group Art Unit:
The incorporation can only be	relied upon when a portion has been inadve	nuation or divisional application and is hereby incorporated by reference ertently omitted from the submitted application parts.
	19. CORRESPOND	DENCE ADDRESS
Customer Number or Bar Co	ode Label (Insert Customer No. or Affacti b.	or Correspondence address below
Name	Paul A. Levitsky	
Address City	Competitive Technologies, Inc. 1960 Bronson Road Fairfield USA Te	State CT Zip Code 06430
Country		elepnone 200 200 Pax
Name (Print/Type)	Paul A. Levitsky	Registration No. (Attorney/Agent) 46,449
Signature	Toulet In	Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Complete (if applicable)

Telephone

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)	370.00
Ψ)	0,0.00

Complete if Known					
Application Number					
Filing Date					
First Named Inventor	Bahram Javidi				
Examiner Name					
Group Art Unit					
Attorney Docket No.	UCT-0020-01				

METHOD OF PAYMENT	FEE CALCULATION (continued)			
1. The Commissioner is hereby authorized to charge indicated fees and credit any overnayments to:	3. ADDITIONAL FEES			
indicated fees and credit any overpayments to:	Large Small			
Account U32634	Entity Entity	E. D.Id		
Number	Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	Fee Paid		
Deposit Account Name Competitive Technologies, Inc.	105 130 205 65 Surcharge - late filing fee or oath			
Charge Any Additional Fee Required Under 37 CFR 1 16 and 1.17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet			
	139 130 139 130 Non-English specification			
Applicant claims small entity status. See 37 CFR 1.27	147 2,520 147 2,520 For filing a request for ex parte reexamination			
2. Payment Enclosed:	112 920* 112 920* Requesting publication of SIR prior to Examiner action			
Check Credit card Order Other	113 1,840* 113 1,840* Requesting publication of SIR after			
FEE CALCULATION	Examiner action			
1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month			
Large Entity Small Entity	116 400 216 200 Extension for reply within second month			
Fee Fee Fee Fee Description	117 920 217 460 Extension for reply within third month			
Code (\$) Code (\$) Fee Paid 101 740 201 370 Utility filing fee 370.00	118 1,440 218 720 Extension for reply within fourth month			
106 330 206 165 Design filling fee	128 1,960 228 980 Extension for reply within fifth month			
107 510 207 255 Plant filing fee	119 320 219 160 Notice of Appeal			
108 740 208 370 Reissue filing fee	120 320 220 160 Filing a brief in support of an appeal			
114 160 214 80 Provisional filing fee	121 280 221 140 Request for oral hearing			
	138 1,510 138 1,510 Petition to institute a public use proceeding			
SUBTOTAL (1) (\$) 370.00	140 110 240 55 Petition to revive - unavoidable			
2. EXTRA CLAIM FEES	141 1,280 241 640 Petition to revive - unintentional			
Fee from <u>Extra Claims</u> <u>below</u> <u>Fee Paid</u>	142 1,280 242 640 Utility issue fee (or reissue)			
Total Claims $20 -20** = 0 \times 0.00 = 0.00$	143 460 243 230 Design issue fee			
Independent 3 - 3** = 0 X 0.00 = 0.00	144 620 244 310 Plant issue fee			
Multiple Dependent =	122 130 122 130 Petitions to the Commissioner			
	123 50 123 50 Processing fee under 37 CFR 1.17(q)			
Large Entity Small Entity Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure Stmt			
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)			
102 84 202 42 Independent claims in excess of 3	146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a))			
104 280 204 140 Multiple dependent claim, if not paid 109 84 209 42 ** Reissue independent claims over original patent	149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))			
	179 740 279 370 Request for Continued Examination (RCE)	[
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	169 900 169 900 Request for expedited examination of a design application			
SUBTOTAL (2) (\$) 0.00	Other fee (specify)			
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)			

Signature

A. Leyits<u>k</u>y

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Registration No. 46,449 (Attorney/Agent)

SUBMITTED BY

Name (Print/Type)

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NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor		amed Inventor	Bahram Javidi	
	Title	Image Processing Techniques For Automatic Road Sign Identification		
	Atty Do	ocket Number	UCT-0020-01	

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

///15/2001 Date

Paul A. Levitsky, Reg. 46,449

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing.**

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

IN THE UNITED STATES RECEIVING OFFICE

Applicant: Bahram Javidi, et al.

Entitled: Image Processing Techniques for Automatic Road Sign Identification

Attorney Docket No.: UCT-0020-01

Date Filed: Concurrently Herewith

TRANSMITTAL SUBMITTING NEW PATENT APPLICATION

November 15, 2001

Assistant Commissioner for Patents Box Patent Application Washington, DC 20231

Sir:

Enclosed for filing as a new patent application for the above identified invention are:

New Patent Application comprising of:

- Utility Patent Application Transmittal
- New Application comprising of:
 - o Specification 28 sheets
 - o Claims 4 sheets
 - o Abstract − 1 sheet
 - o Informal Drawings 12 sheets
- Fee Transmittal
- Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)
- Post Card Filing Receipt

In accordance with the provisions of 37 CFR 1.27, applicant claims small entity status. Please accord this application a filing date of November 15, 2001 in accordance with the provisions of 37 CFR 1.10.

Respectfully Submitted,

Paul A. Levitsky
Attorney for the Applicant

Reg. No. 46,449

Certificate of Express Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under CFR 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231:

Signature of Authorized Representative

Paul A. Levitsky Reg. No. 46,449

Date